附件

大连商品交易所

场外期权试点项目申请书

**项目名称**：

**项目申请单位：**

**项目负责人：**

**起止时间：**

大连商品交易所印制

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 一、试点项目背景二、试点项目参与主体**（一）试点项目服务的企业介绍****（二）申请单位介绍**三、试点项目基本内容**（一）试点项目地点、品种、现货量及操作周期****（二）具体流程方案（流程图）****（三）场外期权定价方式及权利金****（四）对冲方式及策略（举例说明）****（五）预估项目操作期间所需在场内发生的对冲量****（六）试点项目的风险评估**四、试点项目目标五、对完成试点项目现有条件的分析（包括参加人员的水平、已有成果、资料和研究手段）六、项目分阶段计划进度七、项目人员组成

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **项****目****负****责****人** | **姓名** | **单位** | **职务职称** | **联系电话** | **工作分工** |
|  |  |  |  |  |
|  |  |  |  |  |
| **参****加****人****员** |  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

八、试点项目经费预算

|  |  |  |
| --- | --- | --- |
| **开支项目** | **金额（元）** | **用途说明** |
|  |  |  |
|  |  |  |
|  |  |  |
| **合计** |  |  |

 |